

Ralph E. Jocke  
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10-17-05

**Walker & Jocke**  
a legal professional association

October 12, 2005


Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: **Application Serial No.:** 10/688,836  
**Applicants:** Zachary Utz, et al.  
**Title:** Automated Banking Machine Which Dispenses,  
Receives And Stacks Notes and Other Financial  
Instrument Sheets  
**Filing Date:** October 17, 2003  
**Confirmation No.** 9439  
**Docket No.:** D-1189

Sir:

Please find enclosed an Information Disclosure Statement Form PTO/SB/08 and copies of the non-U.S. references. No fee is due pursuant to 37 C.F.R. § 1.97.

Respectfully,

  
Ralph E. Jocke  
Reg. No. 31,029

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this document and the documents indicated as enclosed herewith are being deposited with the U.S. Postal Service as Express Mail Post Office to addressee in an envelope addressed to Mail Stop Non-Fee, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, this 13<sup>th</sup> day of October 2005

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Practitioner's Docket No. D-1189

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:           **Zachary Utz, et al.**

Serial No.:                       **10/688,836**

Filed:                               **October 17, 2003**

Confirmation No.:               **9439**

For:                               **Automated Banking Machine Which Dispenses, Receives, and  
Stacks Notes and Other Financial Instrument Sheets**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the obligations imposed by 37 C.F.R. Section 1.98, Applicants wish to bring to the attention of the Examiner the following documents listed on Form SB08A (substitute for Form PTO-1449) submitted herewith.

Copies of the non-U.S. references are attached herewith. The citation of these references shall not constitute an admission that they constitute prior art or are material to the present invention.

Respectfully submitted,

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

Application Number	10/688,836
Filing Date	October 17, 2003
First Named Inventor	Zachary Utz
Art Unit	3624
Examiner Name	
Attorney Docket Number	D-1189

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		EP 0 714 078 A1	05-29-1996	Kimura, et al.		
		WO 99/28225 A1	06-10-1999	Haney, et al.		
		WO 02/27626 A1	04-04-2002	Haney, et al.		
		EP 0 315 735 A	05-17-1989	IBM		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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